

LIMBACH & LIMBACH L.L.P.  
2001 Ferry Building, San Francisco, CA 94111  
415/433-4150

11/25/97  
JC529 U.S. PTO  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Attorney's Docket No. SONY-5300

SIR:

**NEW APPLICATION TRANSMITTAL**

Transmitted herewith for filing is the patent application of Inventor(s): ITARU KAWAKAMI

Title: RECORDING MEDIUM, TRANSMISSION MEDIUM, INFORMATION PROCESSING APPARATUS/INFORMATION PROCESSING METHOD, INFORMATION PROVIDING APPARATUS AND INFORMATION PROCESSING SYSTEM

**CERTIFICATION UNDER 37 CFR § 1.10**

I hereby certify that this New Application and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date November 25, 1997, in an envelope bearing "Express Mail Post Office To Addressee" Mailing Label Number EM345442770US addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

HOWARD WONG

(Name of person mailing paper)

(Signature)

Enclosed are:

1. The papers required for filing date under CFR § 1.53(b):  
79 Pages of specification (including claims); 14 Sheets of drawings.  
 formal  
 informal
2.  Declaration or oath (unsigned)
3.  Power of Attorney (unsigned)
4.  Assignment of the invention to Sony Corporation (including Form PTO-1595).
5. Fee Calculation  
 Amendment changing number of claims or deleting multiple dependencies is enclosed.

**CLAIMS AS FILED**

	Number Filed	Number Extra	Rate	Basic Fee (\$790)
Total Claims	23 - 20	3	x \$22.00	\$66
Independent Claims	8 - 3	5	x \$82.00	\$410
<u>—</u> Multiple dependent claim(s), if any			\$270.00	0

\*If less than zero, enter "0".

Filing Fee Calculation . . . . . \$1266.00

6.  Small Entity Statement - verified statement enclosed

50% Filing Fee Reduction (if applicable) . . . . . \$0

7. Other Fees

Recording Assignment [\$40.00] . . . . . \$0  
 Other fees  
Specify \_\_\_\_\_ . . . . . \$0

Total Fees Enclosed . . . . . \$1266.00

8. Payment of Fees

Check(s) in the amount of \$ 1266.00 enclosed.  
 Charge Account No. 12-1420 in the amount of \$\_\_\_.  
A duplicate of this transmittal is attached.

9.  Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge any additional fees (or credit any overpayment) associated with this communication and which may be required under 37 CFR § 1.16 or § 1.17 to Account No. 12-1420. A duplicate sheet is attached.

10. All correspondence regarding this application should be forwarded to the undersigned attorney:

Charles P. Sammut  
Limbach & Limbach L.L.P.  
2001 Ferry Building  
San Francisco, CA 94111  
Telephone: 415/433-4150  
Facsimile: 415/433-8716

11.  Information Disclosure Statement

12.  Return Receipt Postcard

13.  Applicant hereby claims priority under 35 USC 119 of Japanese patent application number P08-317311 filed November 28, 1996.

14.  Certified copy of Japanese Patent  
Appln. No. P08-317311 f. 11/28/96

15.  Preliminary Amendment

LIMBACH & LIMBACH L.L.P.

By:

  
W. Patrick Bengtsson  
Registration No. 32,456  
Attorney(s) or Agent(s) of Record

November 25, 1997

(Date)

(S97P1030US00)